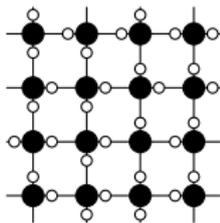


# Lecture 9

## Ice model and commuting transfer matrices

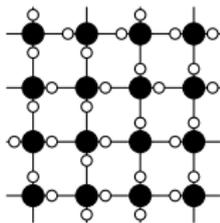
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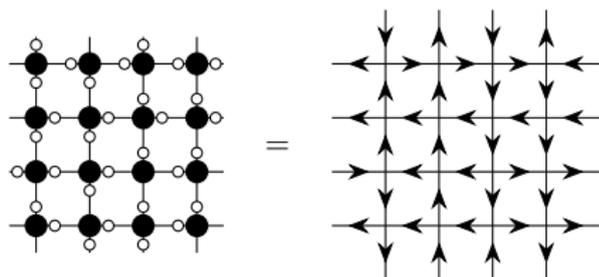


Each oxygen atom has two hydrogen atom next to it.

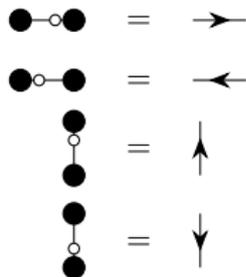


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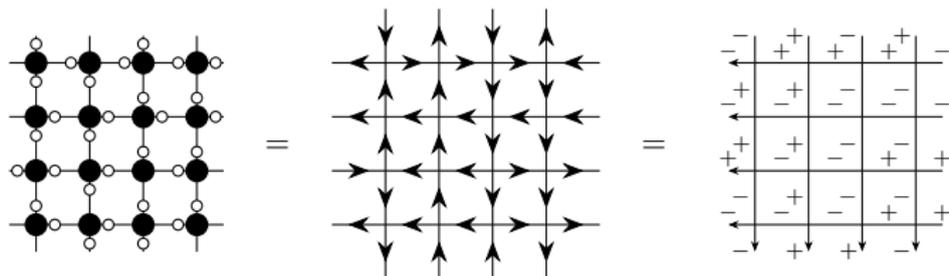
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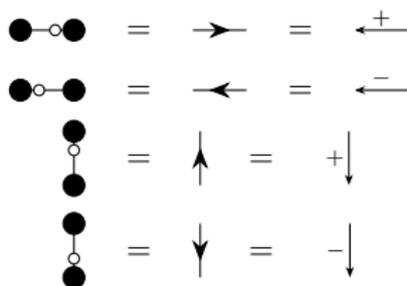
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The 'ice model' (● is Oxygen, ○ is Hydrogen):



Each oxygen atom has two hydrogen atom next to it. Small arrows on the right figure define the orientation of the lattice lines and vertices, which will be important later.



# Ice model: Boltzmann weights

**Six-vertex model:** the Boltzmann weights are associated with vertices:

$$Z = \sum_{\text{configurations}} \prod_{\text{vertices}} R_{\varepsilon'_1 \varepsilon'_2}^{\varepsilon_1 \varepsilon_2}, \quad R_{\varepsilon'_1 \varepsilon'_2}^{\varepsilon_1 \varepsilon_2} = \varepsilon_2 \begin{array}{c} \varepsilon'_1 \\ \leftarrow \downarrow \rightarrow \\ \varepsilon_1 \end{array} \varepsilon'_2, \quad \boxed{\varepsilon'_1 + \varepsilon'_2 = \varepsilon_1 + \varepsilon_2}.$$

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Ice condition

We have six vertices

$$\begin{aligned}
 R_{++}^{++} = a &= \begin{array}{c} \circ \\ \bullet \\ \circ \end{array} \begin{array}{c} \bullet \\ \circ \\ \bullet \end{array} = \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} = + \begin{array}{c} + \\ \leftarrow \downarrow \rightarrow \\ + \end{array} +, & R_{--}^{--} = a' &= \begin{array}{c} \circ \\ \bullet \\ \circ \end{array} \begin{array}{c} \circ \\ \bullet \\ \circ \end{array} = \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} = - \begin{array}{c} - \\ \leftarrow \downarrow \rightarrow \\ - \end{array} - \\
 R_{+-}^{+-} = b &= \begin{array}{c} \bullet \\ \circ \\ \bullet \end{array} \begin{array}{c} \circ \\ \bullet \\ \circ \end{array} = \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} = - \begin{array}{c} + \\ \leftarrow \downarrow \rightarrow \\ + \end{array} -, & R_{-+}^{-+} = b' &= \begin{array}{c} \circ \\ \bullet \\ \circ \end{array} \begin{array}{c} \bullet \\ \circ \\ \bullet \end{array} = \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} = + \begin{array}{c} - \\ \leftarrow \downarrow \rightarrow \\ - \end{array} + \\
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$$R = \begin{pmatrix} a & & & & \\ & b & c & & \\ & c' & b' & & \\ & & & & a' \end{pmatrix} \text{ in the basis } (++) , (+-) , (-+) , (--).$$

# Six-vertex models: solvable case

The six-vertex model is **solvable**, if

$$R_{-\varepsilon_1 \ -\varepsilon_2}^{-\varepsilon'_1 \ -\varepsilon'_2} = R_{\varepsilon_1 \ \varepsilon_2}^{\varepsilon'_1 \ \varepsilon'_2}$$

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The transfer matrix

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$$R : \mathbb{C}^2 \otimes \mathbb{C}^2 \rightarrow \mathbb{C}^2 \otimes \mathbb{C}^2, \quad v_{\varepsilon_1} \otimes v_{\varepsilon_2} \mapsto R_{\varepsilon_1 \varepsilon_2}^{\varepsilon'_1 \varepsilon'_2} v_{\varepsilon'_1} \otimes v_{\varepsilon'_2}.$$

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Then the transfer matrix can be written as

$$T = \text{tr}_{V_0}(R_{0N} \dots R_{02} R_{01}) : V_1 \otimes V_2 \otimes \dots \otimes V_N \rightarrow V_1 \otimes V_2 \otimes \dots \otimes V_N. \quad (2)$$

The space  $V_1 \otimes \dots \otimes V_N$  is called [quantum space](#), while the space  $V_0$  is called [auxiliary space](#).

The operator under the trace is

$$L = R_{0N} \dots R_{02} R_{01} : V_0 \otimes V_1 \otimes \dots \otimes V_N \rightarrow V_0 \otimes V_1 \otimes \dots \otimes V_N. \quad (3)$$

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We will consider it as an operator in the quantum space and a matrix in the auxiliary space

$$L = \begin{pmatrix} A & B \\ C & D \end{pmatrix}, \quad A, B, C, D : V_1 \otimes V_2 \otimes \dots \otimes V_N \rightarrow V_1 \otimes V_2 \otimes \dots \otimes V_N.$$

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Then

$$T = \text{tr}_{V_0} L = A + D. \quad (4)$$

# Commuting transfer matrices and Yang–Baxter equation

Integrability demands the existence of extra commuting integrals of motion  $I_n$ :

$$[T, I_n] = 0, \quad [I_m, I_n] = 0.$$

How to construct them?

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Let us search for the operators  $T' = \text{tr}_{V_0} L'$ ,  $L' = R'_{0N} \dots R'_{02} R'_{01}$  with some matrix  $R'$ .

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## Theorem

If there exist nondegenerate matrices  $R'$ ,  $R''$  such that

$$R''_{12} R'_{13} R_{23} = R_{23} R'_{13} R''_{12}, \quad (5)$$

or, graphically

$$= \quad (5')$$

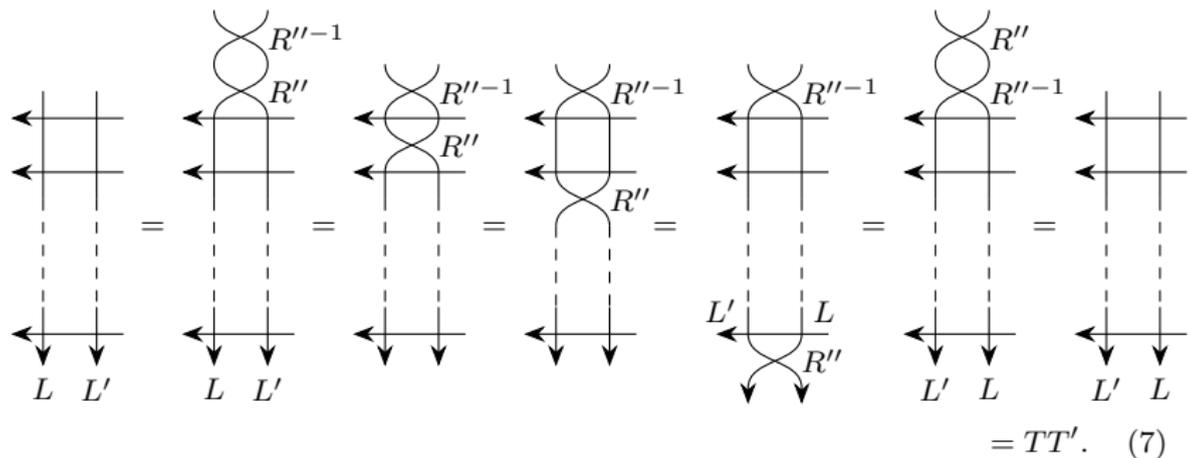
then

$$[T, T'] = 0 \quad (6)$$

# Commuting transfer matrices: a proof

A graphical proof:

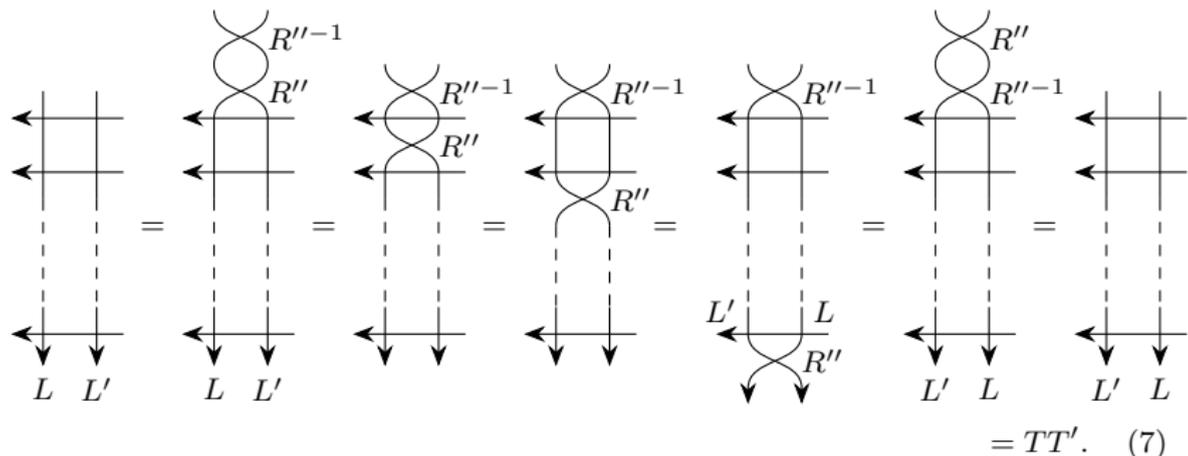
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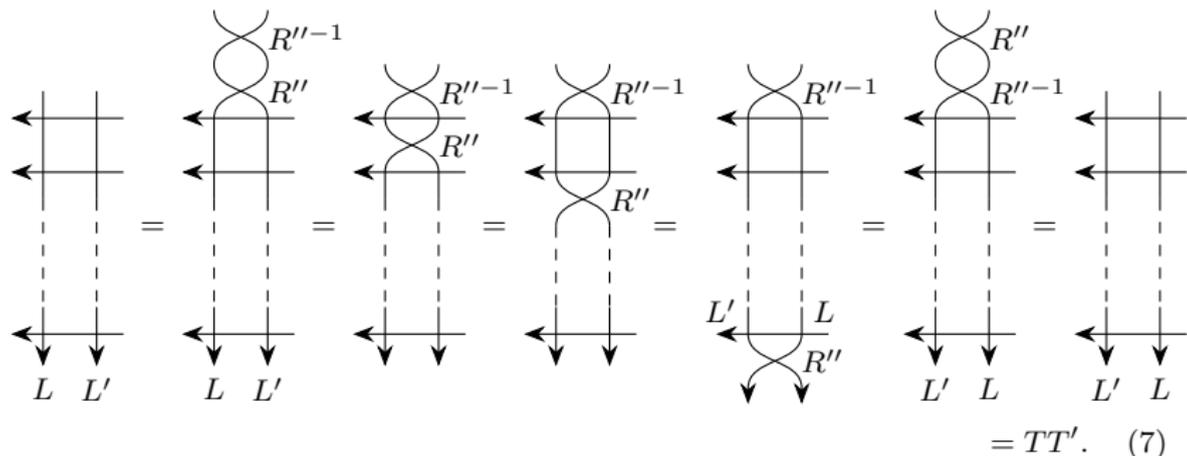
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which is proved by induction. Then

$$\begin{aligned} T'T &= \text{tr}_{V_1 \otimes V_2}(L'_1 L_2) = \text{tr}_{V_1 \otimes V_2}((R''_{12})^{-1} R''_{12} L'_1 L_2) = \text{tr}_{V_1 \otimes V_2}((R''_{12})^{-1} L_2 L'_1 R''_{12}) \\ &= \text{tr}_{V_1 \otimes V_2}(R''_{12} (R''_{12})^{-1} L_2 L'_1) = \text{tr}_{V_1 \otimes V_2}(L_2 L'_1) = TT'. \end{aligned}$$

# Yang–Baxter equation: solution for the six-vertex model

The solution can be found in the form

$$\begin{aligned}R &= R(\lambda, u_2 - u_3), \\R' &= R(\lambda, u_1 - u_3), \\R'' &= R(\lambda, u_1 - u_2)\end{aligned}\tag{8}$$

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Thus we will omit the parameter  $\lambda$  from now on:

$$R(u) \equiv R(\lambda, u), \quad a(u) \equiv a(\lambda, u) \text{ etc.}$$

# Yang–Baxter equation: spectral parameter

The spectral parameters can be associated to lines:

$$R(\lambda, u - v)_{\varepsilon_1 \varepsilon_2}^{\varepsilon_3 \varepsilon_4} = \varepsilon_2 \begin{array}{c} \varepsilon_3 \\ \downarrow v \\ \leftarrow \varepsilon_4 \\ \downarrow u \\ \varepsilon_1 \end{array}$$

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This  $R$  matrix is the solution to the Yang–Baxter equation in the form

$$\begin{aligned} R_{12}(\lambda, u_1 - u_2) R_{13}(\lambda, u_1 - u_3) R_{23}(\lambda, u_2 - u_3) \\ = R_{23}(\lambda, u_2 - u_3) R_{13}(\lambda, u_1 - u_3) R_{12}(\lambda, u_1 - u_2). \end{aligned} \quad (10)$$

Graphically:

$$\begin{array}{ccc} \begin{array}{c} \diagup \quad \diagdown \\ \leftarrow u_3 \\ \diagdown \quad \diagup \\ u_2 \quad u_1 \end{array} & = & \begin{array}{c} \diagdown \quad \diagup \\ \leftarrow u_3 \\ \diagup \quad \diagdown \\ u_2 \quad u_1 \end{array} \end{array} \quad (10')$$

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Graphically:

Besides, the  $R$  matrix satisfy the relations

$$b(u) R(\lambda - u)_{\varepsilon_1 \varepsilon_2}^{\varepsilon_3 \varepsilon_4} = R(u)_{\varepsilon_4 - \varepsilon_1}^{\varepsilon_2 - \varepsilon_3}, \quad R_{12}(u) R_{21}(-u) = 1, \quad R(0) = P = \begin{array}{c} \downarrow \\ \text{Junction} \end{array}. \quad (11)$$

# Integrals of motion

We have

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Then decompose the product  $T^{-1}(0)T(u)$  in  $u$ :

$$T^{-1}(0)T(u) = 1 - \sum_{n=1}^{\infty} \frac{H_n u^n}{n!}. \quad (14)$$

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First of all,  $T(0)$  is nothing but the shift operator:

$$T(0) = \begin{array}{ccc} \begin{array}{c} \leftarrow u \\ \leftarrow u \\ \vdots \\ \leftarrow u \\ \downarrow u \end{array} & = & \begin{array}{c} \leftarrow \left. \begin{array}{l} \vdots \\ \vdots \\ \vdots \end{array} \right\} \\ \leftarrow \left. \begin{array}{l} \vdots \\ \vdots \end{array} \right\} \\ \vdots \\ \leftarrow \left. \begin{array}{l} \vdots \\ \vdots \end{array} \right\} \\ \downarrow u \end{array} \end{array} \quad (13)$$

Then decompose the product  $T^{-1}(0)T(u)$  in  $u$ :

$$T^{-1}(0)T(u) = 1 - \sum_{n=1}^{\infty} \frac{H_n u^n}{n!}. \quad (14)$$

Hamiltonians  $H_n$  commute with  $T(u)$  and mutually commute:

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Operators  $H_n$  are **local** in the sense that each of them is a sum of term, which involves a finite number  $(n + 1)$  of neighboring nodes.

# Six-vertex model and XXZ Heisenberg chain

Let us find the Hamiltonian  $H_1$  explicitly:

$$-H_1 = T^{-1}(0)T'(0) =$$

$$\frac{d}{du} \Big|_{u=0} \begin{array}{c} \begin{array}{c} 0 \\ \leftarrow \\ 0 \\ \leftarrow \\ 0 \\ \leftarrow \\ u \end{array} \end{array} = \frac{d}{du} \Big|_{u=0} \sum_{n=1}^N \begin{array}{c} v_N \\ \leftarrow \\ v_{n+2} \\ \leftarrow \\ v_{n+1} \\ \leftarrow \\ v_n \\ \leftarrow \\ v_1 \end{array} = \frac{d}{du} \Big|_{u=0} \sum_{n=1}^N \begin{array}{c} v_N \\ \leftarrow \\ \vdots \\ v_{n+2} \\ \leftarrow \\ v_{n+1} \\ \leftarrow \\ v_n \text{ } u \\ \leftarrow \\ \vdots \\ v_1 \end{array} \\ = \sum_{n=1}^N \check{R}'_{n,n+1}(0), \end{array}$$

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where

$$\begin{aligned} \check{R}(u) = PR(u) &= \begin{pmatrix} a(u) & & & & \\ & c(u) & b(u) & & \\ & b(u) & c(u) & & \\ & & & & a(u) \end{pmatrix} = 1 + \frac{u}{\sin \lambda} \begin{pmatrix} 0 & \cos \lambda & 1 & & \\ & 1 & \cos \lambda & & \\ & & & & \\ & & & & \\ & & & & 0 \end{pmatrix} + O(u^2) \\ &= 1 - \frac{u}{\sin \lambda} \left( h - \frac{\cos \lambda}{2} \right) + O(u^2), \end{aligned}$$

Here

$$h = -\frac{1}{2}(\sigma^x \otimes \sigma^x + \sigma^y \otimes \sigma^y - \cos \lambda \sigma^z \otimes \sigma^z).$$

Hence

$$H_1 \sin \lambda = H_{\text{XXZ}} + \frac{N\Delta}{2},$$

where  $H_{\text{XXZ}}$  is the Hamiltonian of the **XXZ Heisenberg** chain:

$$H_{\text{XXZ}} = -\frac{1}{2} \sum_{n=1}^N (\sigma_n^x \sigma_{n+1}^x + \sigma_n^y \sigma_{n+1}^y + \Delta \sigma_n^z \sigma_{n+1}^z) \quad (16)$$

with  $\Delta$  given by (9):

$$\Delta = \frac{a^2 + b^2 - c^2}{2ab} = \begin{cases} -\cos \lambda \\ -\text{ch } \lambda \end{cases}.$$

Due to the ice condition the  $z$  component of total spin

$$S^z = \frac{1}{2} \sum_{i=1}^N \sigma_n^z$$

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$$[T(u), S^z] = [H_{\text{XXZ}}, S^z] = 0. \quad (17)$$

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Define the **pseudovacua**

$$|\Omega_{\pm}\rangle = \underbrace{v_{\pm} \otimes v_{\pm} \otimes \dots \otimes v_{\pm}}_N. \quad (18)$$



States of fixed spin  $S^z = N/2 - k$  are linear combinations of the states

$$|n_1, \dots, n_k\rangle = \sigma_{n_1}^- \dots \sigma_{n_k}^- |\Omega_+\rangle, \quad \sigma^\pm = \frac{\sigma^x \pm i\sigma^y}{2}. \quad (19)$$

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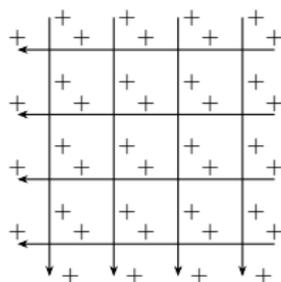
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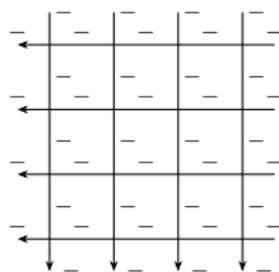
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# Six-vertex model: three regimes

1. **Ferroelectric** regime:  $\Delta > 0$ . Let  $a > b + c$ . Ground configurations:

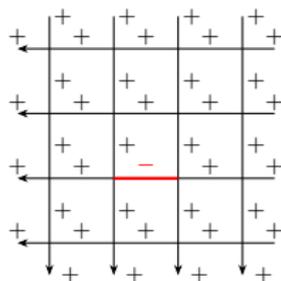


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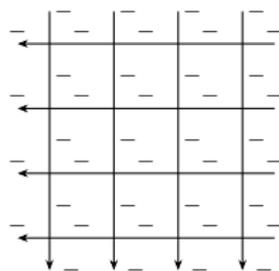


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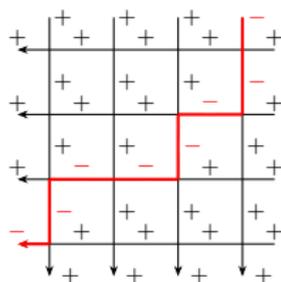


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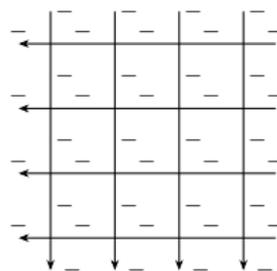


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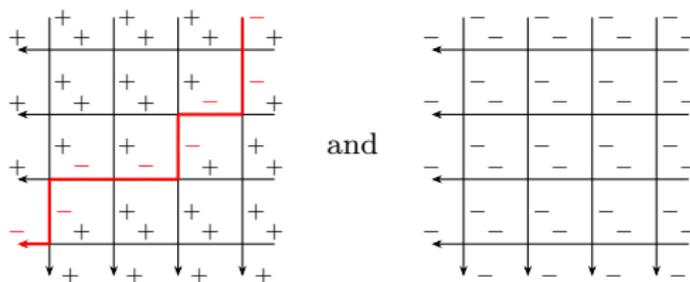


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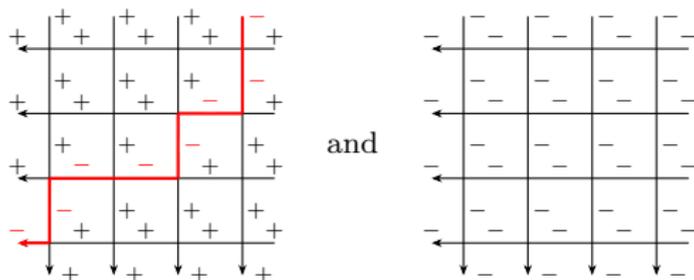
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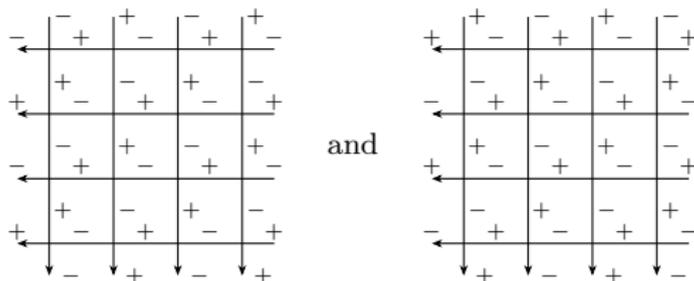
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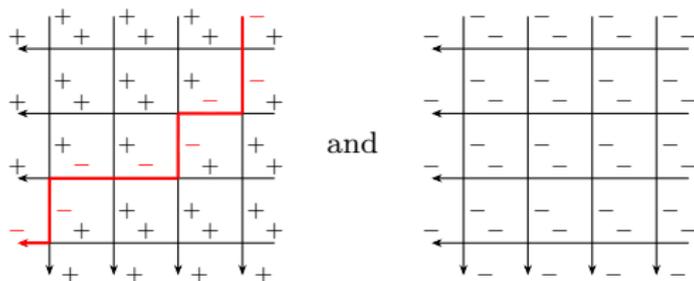
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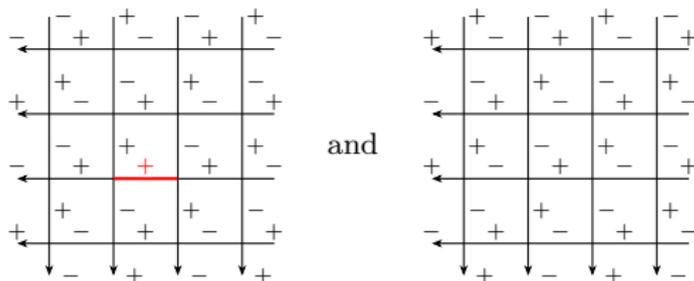
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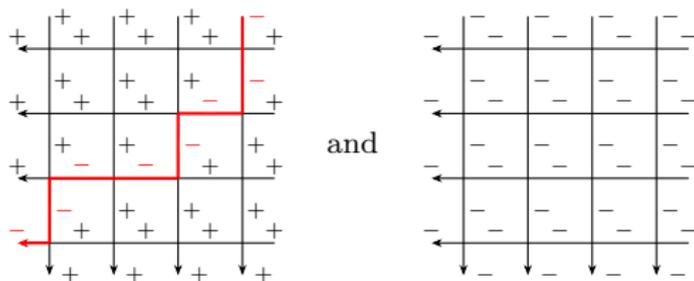
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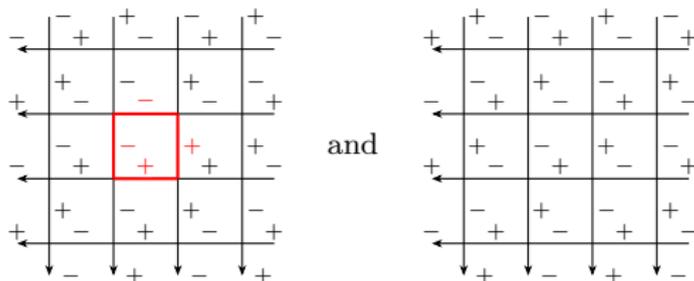
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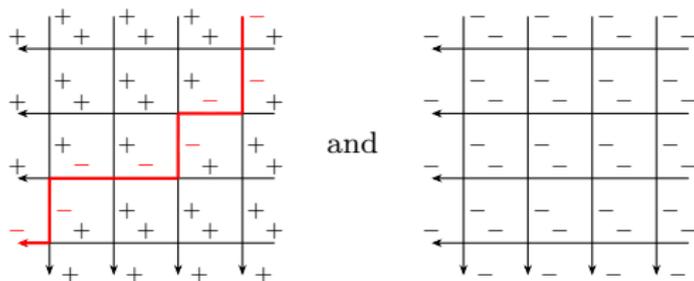
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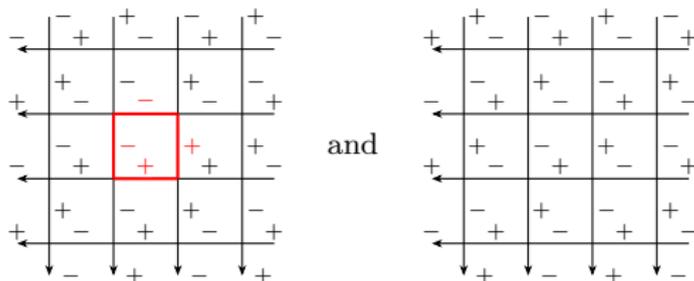
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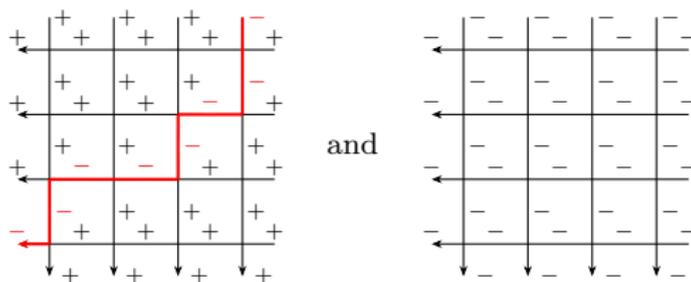
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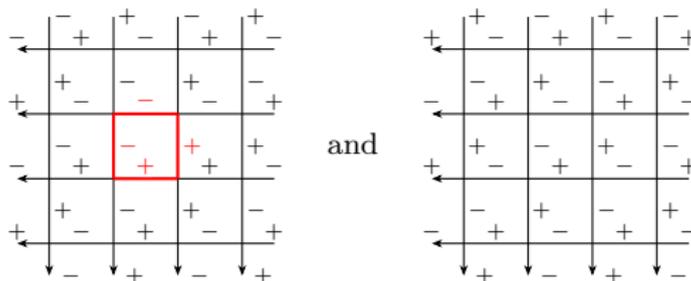
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3. **Disordered** regime:  $|\Delta| < 1$ . No ground configurations. It turns out that this regime is always **critical**.

Consider the case  $k = 2$ . Let us search for an eigenstate in the form

$$|\Psi_2(z_1, z_2)\rangle = \sum_{n_1 < n_2} (A_{12} z_1^{n_1} z_2^{n_2} + A_{21} z_2^{n_1} z_1^{n_2}) |n_1, n_2\rangle. \quad (22)$$

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The action of the Hamiltonian moves  $n_i$  by  $\pm 1$ . Thus, the action on the contributions with  $n_2 - n_1 > 1$  does not differ from the action on the one-particle state. Hence, if the state is an eigenstate, we have

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$$\frac{A_{21}}{A_{12}} = S(z_1, z_2) \equiv -\frac{1 + z_1 z_2 - 2\Delta z_2}{1 + z_1 z_2 - 2\Delta z_1}. \quad (23)$$

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Second, we have to impose the periodicity condition:

$$z_1^N S(z_1, z_2) = 1, \quad z_2^N S(z_2, z_1) = 1. \quad (24)$$

Consider general  $k$ . The **Bethe Ansatz** is

$$|\Psi_k(z_1, \dots, z_k)\rangle = \sum_{n_1 < \dots < n_k} \sum_{\sigma \in S_k} A_{\sigma_1 \dots \sigma_k} \prod_{j=1}^k z_{\sigma_j}^{n_j} |n_1, \dots, n_k\rangle.$$

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Next time we rederive the Bethe equations in a different way and solve them for the ground state. We also will find the corresponding eigenvalue of the transfer matrix.

