

### Lecture 3

#### Free massless fermion on the cylinder and on the plane

Consider now a free massless Majorana fermion  $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$  on the cylinder:

$$S[\psi] = \frac{i}{2\pi} \int d^2\xi \bar{\psi} \gamma^\mu \partial_\mu \psi = \frac{i}{\pi} \int d^2\xi (\psi_1 \bar{\partial} \psi_1 - \psi_2 \partial \psi_2). \quad (1)$$

Here, as usual,  $\bar{\psi} = \psi^\dagger \gamma^0$ . The gamma-matrices should satisfy the conditions

$$\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2\eta^{\mu\nu}, \quad \gamma^0 \gamma^\mu \gamma^0 = (\gamma^\mu)^\dagger. \quad (2)$$

We choose them purely imaginary:

$$\gamma^0 = \begin{pmatrix} & -i \\ i & \end{pmatrix} = \sigma^2, \quad \gamma^1 = \begin{pmatrix} & i \\ i & \end{pmatrix} = i\sigma^1, \quad \gamma^3 = \gamma^0 \gamma^1 = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} = \sigma^3. \quad (3)$$

The Majorana condition in this case is

$$\psi^* = \psi. \quad (4)$$

The variables  $\psi_\alpha(\xi)$  should be considered Grassmann (anticommuting):  $\psi_\alpha(\xi) \psi_\beta(\xi') = -\psi_\beta(\xi') \psi_\alpha(\xi)$ . The Hamiltonian reads<sup>1</sup>

$$H = -\frac{i}{2\pi} \int_0^L d\xi^1 \psi \gamma^3 \partial_1 \psi = -\frac{i}{2\pi} \int_0^L d\xi^1 (\psi_1 \partial_1 \psi_1 - \psi_2 \partial_1 \psi_2) \quad (5)$$

with the Poisson bracket

$$\{\psi_\alpha(\xi^0, \xi^1), \psi_\beta(\xi'^0, \xi'^1)\} = i\pi \delta_{\alpha\beta} \delta(\xi^1 - \xi'^1). \quad (6)$$

Note that due to anticommutativity of the field the Poisson bracket is symmetric. The equation of motion looks like

$$\partial_0 \psi = -\sigma^3 \partial_1 \psi \quad (7)$$

or

$$\bar{\partial} \psi_1 = \partial \psi_2 = 0, \quad (8)$$

where, as we remember,  $\partial = \frac{1}{2}(\partial_1 - \partial_0) = \partial_\zeta$ ,  $\bar{\partial} = \frac{1}{2}(\partial_1 + \partial_0) = \partial_{\bar{\zeta}}$ . We see that  $\psi_1 = \psi_1(\zeta)$  is a right-moving Majorana–Weyl fermion wave, while  $\psi_2 = \psi_2(\bar{\zeta})$  is a left-moving Majorana–Weyl fermion wave.

Let us expand the  $\psi$  field into modes. Before doing it we have to fix the periodicity condition. There are two possibilities:

- Ramond (R) condition:  $\psi(\xi^0, \xi^1 + L) = \psi(\xi^0, \xi^1)$ ;
- Neveu–Schwarz (NS) condition:  $\psi(\xi^0, \xi^1 + L) = -\psi(\xi^0, \xi^1)$ .

We have

$$\begin{aligned} \psi_1(\xi) &= \sqrt{\frac{\pi}{L}} \sum_{k \in \mathbb{Z} + \frac{\delta}{2}} b_k(\xi^0) e^{2\pi i k \xi^1 / L}, \\ \psi_2(\xi) &= \sqrt{\frac{\pi}{L}} \sum_{k \in \mathbb{Z} + \frac{\delta}{2}} \bar{b}_k(\xi^0) e^{-2\pi i k \xi^1 / L}, \end{aligned} \quad (9)$$

Here

$$\begin{aligned} \delta &= 0 && \text{in the R case;} \\ \delta &= 1 && \text{in the NS case.} \end{aligned} \quad (10)$$

Evidently, due to the Majorana condition we have

$$b_{-k} = (b_k)^*, \quad \bar{b}_{-k} = (\bar{b}_k)^*. \quad (11)$$

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<sup>1</sup>Accurate derivation of (5) and (6) demands careful taking into account constraints, but we omit these subtleties.

The Hamiltonian and momentum are

$$H = \frac{2\pi}{L} \sum_{k \in \mathbb{Z}_{\geq 0 + \frac{\delta}{2}}} k(b_{-k}b_k + \bar{b}_{-k}\bar{b}_k), \quad P = \frac{2\pi}{L} \sum_{k \in \mathbb{Z}_{\geq 0 + \frac{\delta}{2}}} k(b_{-k}b_k - \bar{b}_{-k}\bar{b}_k). \quad (12)$$

The Poisson bracket is

$$\{b_k, b_l\} = \{\bar{b}_k, \bar{b}_l\} = i\delta_{k+l,0}, \quad \{b_k, \bar{b}_l\} = 0. \quad (13)$$

It is easy to check that the equations of motion for the modes  $b_k, \bar{b}_k$  in both cases read

$$\partial_0 b_k = -i\frac{2\pi}{L} k b_k, \quad \partial_0 \bar{b}_k = -i\frac{2\pi}{L} k \bar{b}_k. \quad (14)$$

and have the following solution

$$b_k^+ = \beta_k e^{-2\pi i k \xi^0 / L}, \quad b_k^- = \bar{\beta}_k e^{2\pi i k \xi^0 / L}. \quad (15)$$

We finally have

$$\begin{aligned} \psi_1(\zeta) &= \sqrt{\frac{\pi}{L}} \sum_{k \in \mathbb{Z} + \frac{\delta}{2}} \beta_k e^{2\pi i k \zeta / L}, \\ \psi_2(\bar{\zeta}) &= \sqrt{\frac{\pi}{L}} \sum_{k \in \mathbb{Z} + \frac{\delta}{2}} \bar{\beta}_k e^{-2\pi i k \bar{\zeta} / L}. \end{aligned} \quad (16)$$

Now let us quantize the system. The Poisson bracket is substituted by the anticommutator:

$$[\psi_\alpha(\xi^0, \xi^1), \psi_\beta(\xi^0, \xi^1)]_+ = \pi \delta_{\alpha\beta} \delta(x - x') \quad (17)$$

or

$$[\beta_k, \beta_l]_+ = [\bar{\beta}_k, \bar{\beta}_l]_+ = \delta_{k+l,0}, \quad [\beta_k, \bar{\beta}_l]_+ = 0. \quad (18)$$

We changed to constant operators  $\beta_k, \bar{\beta}_k$ . Define the vacuums by the conditions  $\beta_k|0\rangle_{\text{NS}} = \bar{\beta}_k|0\rangle_{\text{R}} = \beta_k|0\rangle_{\text{R}} = \bar{\beta}_k|0\rangle_{\text{NS}} = 0$  for  $k > 0$ . But there will be some difference between the NS and R vacuums, which we specify later.

Let us write the Hamiltonian in terms of  $\beta$ -modes. As in the boson case we consider the products of operators as symmetrized ones:

$$\beta_{-k}\beta_k \mapsto \frac{1}{2}(\beta_{-k}\beta_k + \beta_k\beta_{-k}) = \beta_{-k}\beta_k - \frac{1}{2}.$$

In the R sector we obtain for the vacuum energy

$$E_0^{\text{R}} = -2\pi \sum_{k=0}^{\infty} \frac{k}{L} = \frac{\pi}{6L}.$$

In the NS sector we have

$$\begin{aligned} E_0^{\text{NS}} &= -2\pi \sum_{k=0}^{\infty} \frac{k + 1/2}{L} = \left[ 2\pi \frac{\partial}{\partial \varepsilon} \sum_{k=0}^{\infty} e^{-\varepsilon(k+1/2)/L} + \text{const} \cdot L \right]_{\varepsilon \rightarrow 0} \\ &= \left[ \frac{\partial}{\partial \varepsilon} \frac{\pi}{\text{sh} \frac{\varepsilon}{2L}} + \text{const} \cdot L \right]_{\varepsilon \rightarrow 0} = \left[ -\frac{2\pi L}{\varepsilon^2} - \frac{\pi}{12L} + \text{const} \cdot L \right]_{\varepsilon \rightarrow 0} = -\frac{\pi}{12L}. \end{aligned}$$

The Hamiltonians read

$$\begin{aligned} H^{\text{R}} &= \frac{2\pi}{L} \sum_{k \in \mathbb{Z}_{>0}} k(\beta_{-k}\beta_k + \bar{\beta}_{-k}\bar{\beta}_k) + \frac{\pi}{6L}, \\ H^{\text{NS}} &= \frac{2\pi}{L} \sum_{k \in \mathbb{Z}_{\geq 0 + \frac{1}{2}}} k(\beta_{-k}\beta_k + \bar{\beta}_{-k}\bar{\beta}_k) - \frac{\pi}{12L}. \end{aligned} \quad (19)$$

The expressions for the momentum remain unchanged.

Note that the energy of the NS vacuum is larger than that of the R vacuum:

$$E_0^{\text{R}} - E_0^{\text{NS}} = \frac{\pi}{4L}. \quad (20)$$

It means that the R vacuum is an excited state. Moreover, since  $[b_0, H^{\text{R}}] = [\bar{b}_0, H^{\text{R}}] = 0$  and  $b_0^2 = \bar{b}_0^2 = \frac{1}{2}$ , if  $|v\rangle_{\text{R}}$  is any eigenvector, we immediately obtain a quadruplet

$$|v\rangle_{\text{R}}, \quad \beta_0|v\rangle_{\text{R}}, \quad \bar{\beta}_0|v\rangle_{\text{R}}, \quad \beta_0\bar{\beta}_0|v\rangle_{\text{R}}. \quad (21)$$

Nevertheless, this representation is reducible. It splits into two equivalent irreducible representation, one of which we will associate with the fermion. Introduce two fermion operators

$$c_0 = \frac{i^{-1/2}\beta_0 + i^{1/2}\bar{\beta}_0}{\sqrt{2}}, \quad c_0^\dagger = \frac{i^{1/2}\beta_0 + i^{-1/2}\bar{\beta}_0}{\sqrt{2}}. \quad (22)$$

They are mutually conjugate and satisfy the standard fermion relations

$$[c_0, c_0^\dagger]_+ = 1, \quad c_0^2 = (c_0^\dagger)^2 = 0. \quad (23)$$

It is natural to construct any representation from the  $c_0$ -vacuum  $|u\rangle$ :  $c_0|u\rangle = 0$ . The representation is evidently two-dimensional:  $\text{span}\{|u\rangle, c_0^\dagger|u\rangle\}$ . It is easy to construct two such vectors in the representation (21):

$$|u_1\rangle = c_0\beta_0|v\rangle_{\text{R}}, \quad |u_2\rangle = c_0|v\rangle_{\text{R}}.$$

We may remove doubling by choosing any of these representations.

Finally, define the vacuum vector  $|0\rangle_{\text{R}}$  by the conditions

$$\beta_k|0\rangle_{\text{R}} = \bar{\beta}_k|0\rangle_{\text{R}} = 0 \quad (k > 0), \quad c_0|0\rangle_{\text{R}} = 0. \quad (24)$$

The second orthogonal vector is

$$|1\rangle_{\text{R}} = c_0^\dagger|0\rangle_{\text{R}} \quad (25)$$

satisfy the ‘dual’ condition:  $c_0^\dagger|1\rangle_{\text{R}} = 0$ .

The NS vacuum is nondegenerate and corresponds to the lowest energy in both sectors. The condition

$$\beta_k|0\rangle_{\text{NS}} = \bar{\beta}_k|0\rangle_{\text{NS}} = 0 \quad (k > 0) \quad (26)$$

defines it uniquely.

To understand this picture better, let us make the transformation to the plane  $z = e^{-2\pi i\zeta/L}$ ,  $\bar{z} = e^{2\pi i\bar{\zeta}/L}$ . The action (1) is consistent with a conformal transformation, if the spinor field transforms as

$$\psi_1(\zeta, \bar{\zeta}) \rightarrow (f'(\zeta))^{1/2}\psi_1(f(\zeta), \bar{f}(\bar{\zeta})), \quad \psi_2(\zeta, \bar{\zeta}) \rightarrow (\bar{f}'(\bar{\zeta}))^{1/2}\psi_2(f(\zeta), \bar{f}(\bar{\zeta})). \quad (27)$$

Hence on the plane we have

$$\begin{aligned} \psi_1(z) &= \frac{i^{1/2}}{\sqrt{2}}\Psi(z) = \frac{i^{1/2}}{\sqrt{2}} \sum_{k \in \mathbb{Z} + \frac{\delta}{2}} \beta_k z^{-1/2-k}, \\ \psi_2(\bar{z}) &= \frac{i^{-1/2}}{\sqrt{2}}\bar{\Psi}(\bar{z}) = \frac{i^{-1/2}}{\sqrt{2}} \sum_{k \in \mathbb{Z} + \frac{\delta}{2}} \bar{\beta}_k \bar{z}^{-1/2-k}. \end{aligned} \quad (28)$$

Note that the periodicity conditions on the plane are opposite to those on the cylinder:

$$\begin{aligned} \text{R sector:} \quad & \psi(e^{2\pi i}z, e^{-2\pi i}\bar{z}) = -\psi(z, \bar{z}), \\ \text{NS sector:} \quad & \psi(e^{2\pi i}z, e^{-2\pi i}\bar{z}) = \psi(z, \bar{z}). \end{aligned} \quad (29)$$

In the NS sector the fermion is well-defined in the vicinity of the origin, while in the R sector there is a singularity. The singularity is described by a special operator depending on the R vacuum:

$$|0\rangle_{\text{R}} = \sigma(0)|0\rangle_{\text{NS}}, \quad |1\rangle_{\text{R}} = \mu(0)|0\rangle_{\text{NS}}. \quad (30)$$

The operator  $\sigma(x)$  is called *spin operator* or *order parameter*, while the operator  $\mu(x)$  is called *dual spin operator* or *disorder parameter*.<sup>2</sup>

From (19) we may conclude that the Hamiltonian on the cylinder  $H$  is related to the dilation operator  $D$  on the plane:

$$H = \frac{2\pi}{L}D - \frac{\pi}{12L}. \quad (31)$$

The vacuum energy term is twice smaller than in the boson case. From (20) we conclude that

$$D\sigma(z) = \frac{1}{8}\sigma(z), \quad D\mu(z) = \frac{1}{8}\mu(z), \quad (32)$$

which means that their scaling dimensions  $d_\sigma = d_\mu = \frac{1}{8}$ .

### Problems

**1.** Compute the correlation functions:

1.  $\langle \Psi(z')\Psi(z) \rangle \stackrel{\text{def}}{=} {}_{\text{NS}}\langle 0|\Psi(z')\Psi(z)|0 \rangle_{\text{NS}}$ ;
2.  $\langle \mu(\infty)\Psi(z)\sigma(0) \rangle \stackrel{\text{def}}{=} {}_{\text{R}}\langle 1|\Psi(z)|0 \rangle_{\text{R}}$ ;
3.  $\langle \sigma(\infty)\Psi(z')\Psi(z)\sigma(0) \rangle \stackrel{\text{def}}{=} {}_{\text{R}}\langle 0|\Psi(z')\Psi(z)|0 \rangle_{\text{R}}$ .

**2.** The matrix element  ${}_{\text{R}}\langle 0|0 \rangle_{\text{R}}$  defines a pair correlation function on the plane:

$${}_{\text{R}}\langle 0|0 \rangle_{\text{R}} = \langle \sigma(\infty)\sigma(0) \rangle = \lim_{z, \bar{z} \rightarrow \infty} z^{1/16} \bar{z}^{-1/16} \langle \sigma(z, \bar{z})\sigma(0) \rangle.$$

By means of a Möbius transformation

$$f(z) = \frac{az + b}{cz + d}$$

calculate the correlation function

$$\langle \sigma(x')\sigma(x) \rangle = {}_{\text{NS}}\langle 0|\sigma(x')\sigma(x)|0 \rangle_{\text{NS}}.$$

**3.** By applying a Möbius transformation to the second matrix element of Problem 1 find the correlation function

$$\langle \mu(z_1)\Psi(z_2)\sigma(z_3) \rangle.$$

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<sup>2</sup>Their names are related to their role in the Ising model as the operators corresponding to the spin variable in two dual representations of the Ising model. The parameter  $\sigma$  becomes nonzero at low temperature  $T < T_c$ , while  $\mu$  is only nonzero at high temperatures  $T > T_c$ . The free massless fermion describes the Ising model at the critical point, where there is no principal difference between these two objects.