

Carbon Nanotubes as Nanoelectromechanical Systems

- Introduction: NEMS
- Model for suspended carbon nanotubes
- Displacement and eigenmodes
- Stability diagram
- Transport (preliminary)
- Outlook and conclusions

Quantum Transport

- Pablo Jarillo-Herrero
- Sami Sapmaz
- Chris Lodewijk
- Leonid Gurevich
- Herre van der Zant
- Leo Kouwenhoven

Theoretical Physics

- Omar Usmani
- Wouter Wetzels
- Wataru Izumida
- Milena Grifoni
- Y.M.B.

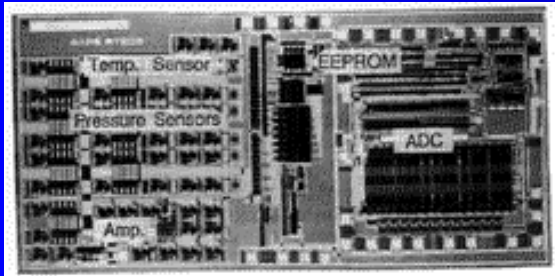
Molecular biophysics

- Jing Kong
- Abdou Hassanien
- Cees Dekker

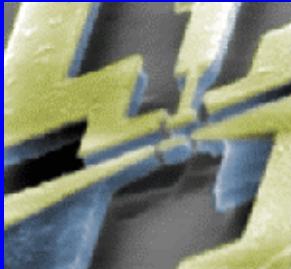
Nanoelectromechanical systems



Electromechanical systems



Microelectromechanical systems



Nanoelectromechanical systems

Nanoelectromechanical systems

NEMS – nanoscale devices which convert electrical current into mechanical energy or vice versa.

Experiments: precise measurements

attoNewtons of force (*Stowe et al '97*)

electrometry (*Cleland and Roukes '98*)

quantum of thermal conductance (*Schwab et al '00*)

Casimir force (*Chan et al '01*)

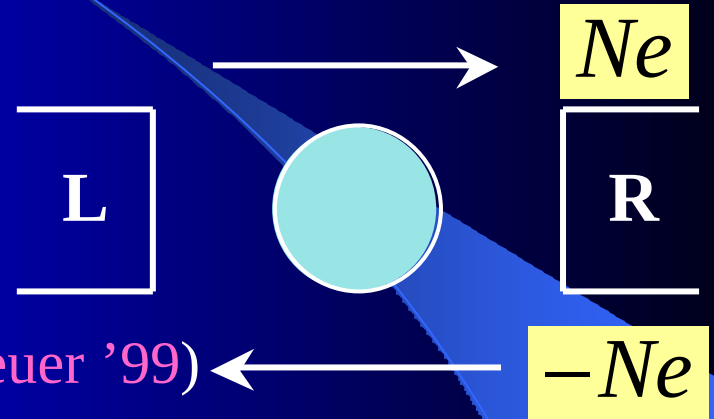
Possible applications: nanoscale sensors and actuators

NEMS research directions

➤ Shuttling: First theoretical proposal by Gorelik *et al* '98

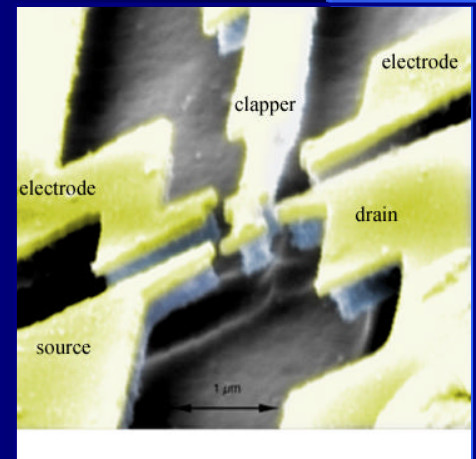
Experiments:

- Classical shuttle (Erbe *et al* '98)
- Silver grain (Tuominen, Krotkov, Breuer '99)
- Fullerene molecule (Park *et al* '00)
- ac driven cantilever (Erbe *et al* '01)



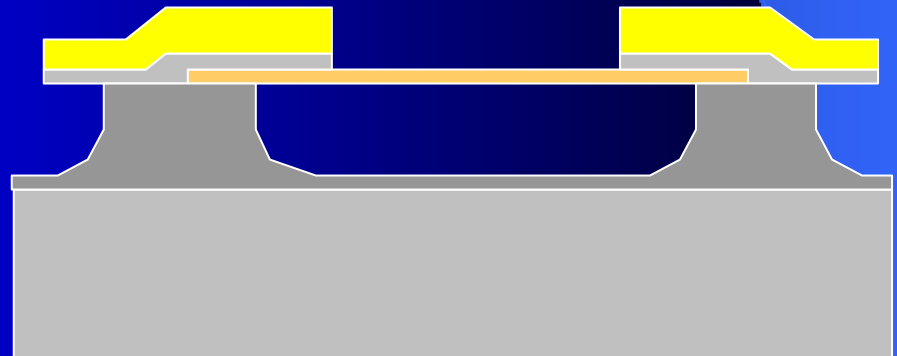
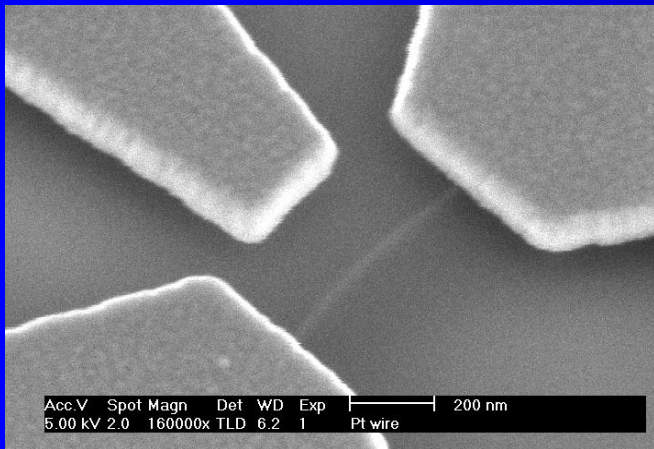
➤ Suspended beams

- Electromechanical noise
- Bistability and quantum effects
- Position and eigenmodes



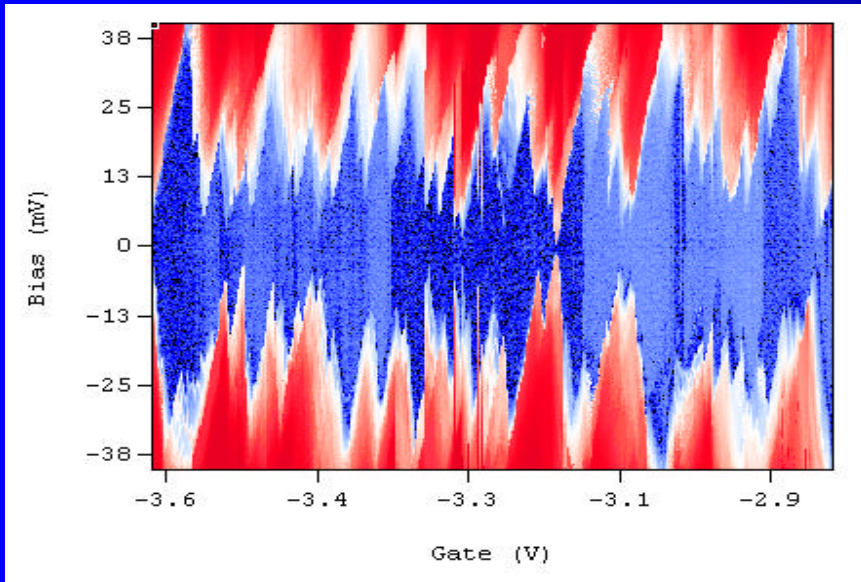
Suspended beams - experiments

- ❖ Silicon quantum dot embedded into a suspended beam
(Höhberger *et al* '02)
- ❖ Suspended doubly-clamped carbon nanotubes
(P. Jarillo-Herrero *et al*, in progress)

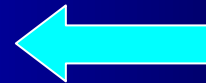


Stability diagram – Delft experiments

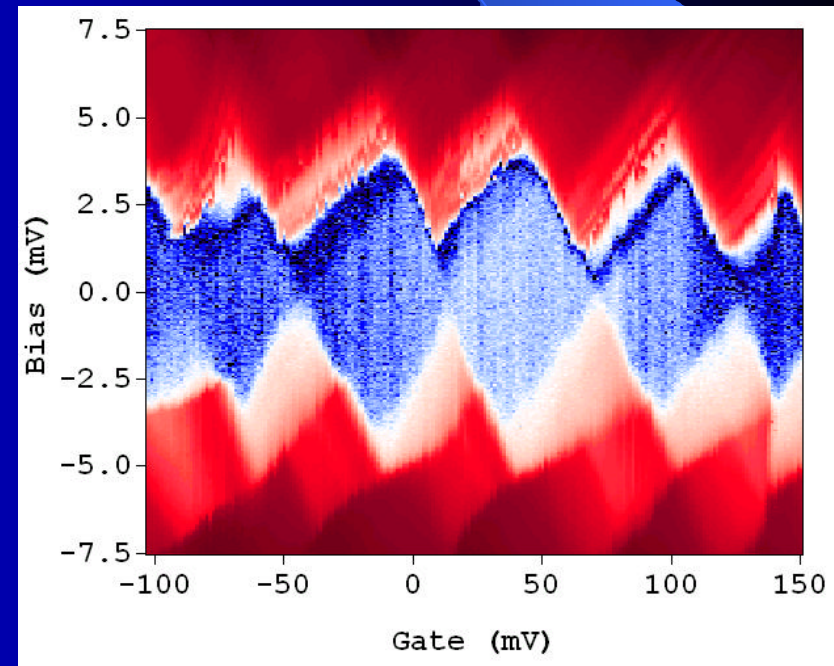
$L=1200\text{nm}$, $T=300\text{mK}$



Before under-etching

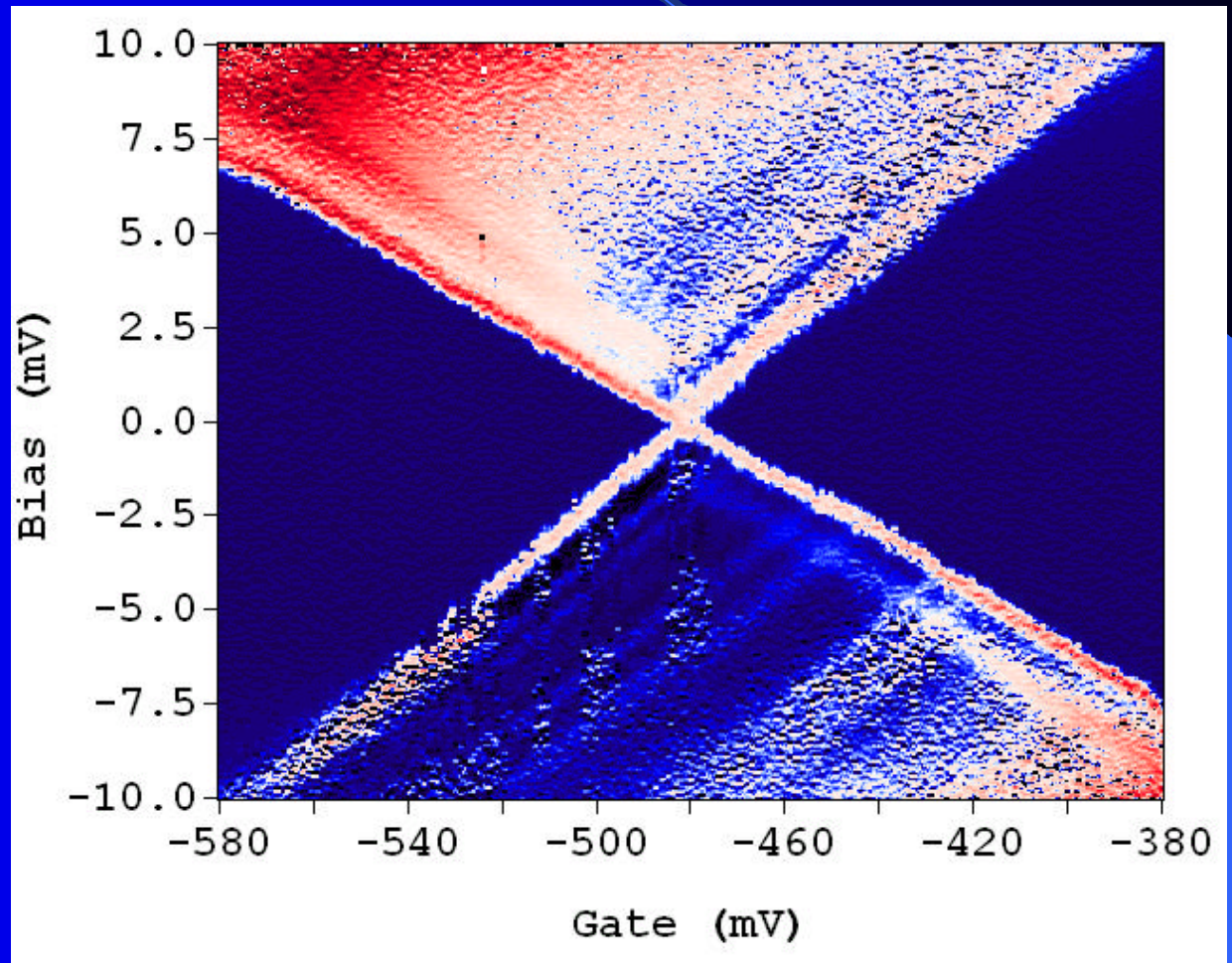


After under-etching



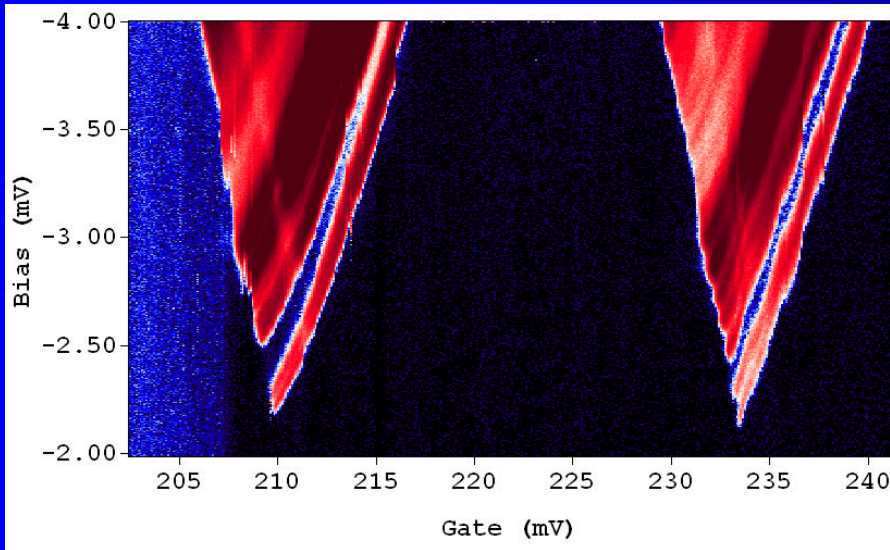
Stability diagram – Delft experiments

P. Jarillo-Herrero *et al*, in progress $L=140\text{nm}$, $T=300\text{mK}$



Stability diagram – Delft experiments

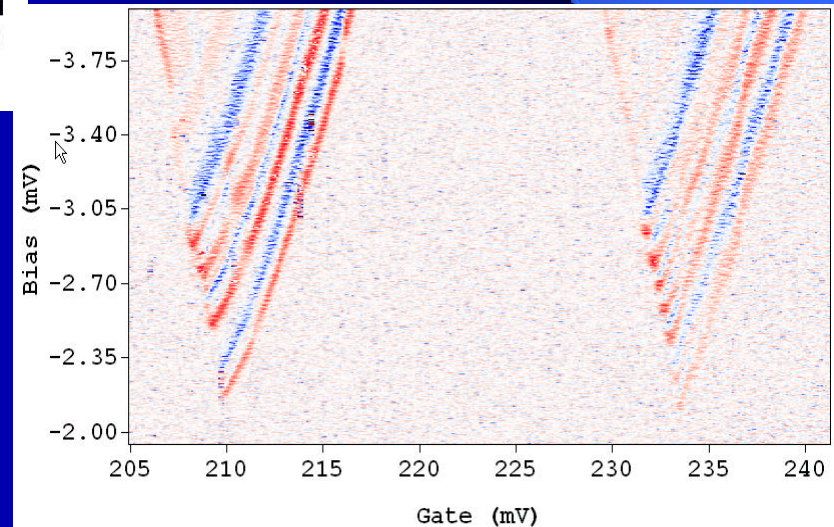
P. Jarillo-Herrero *et al*, in progress



$\ln I$

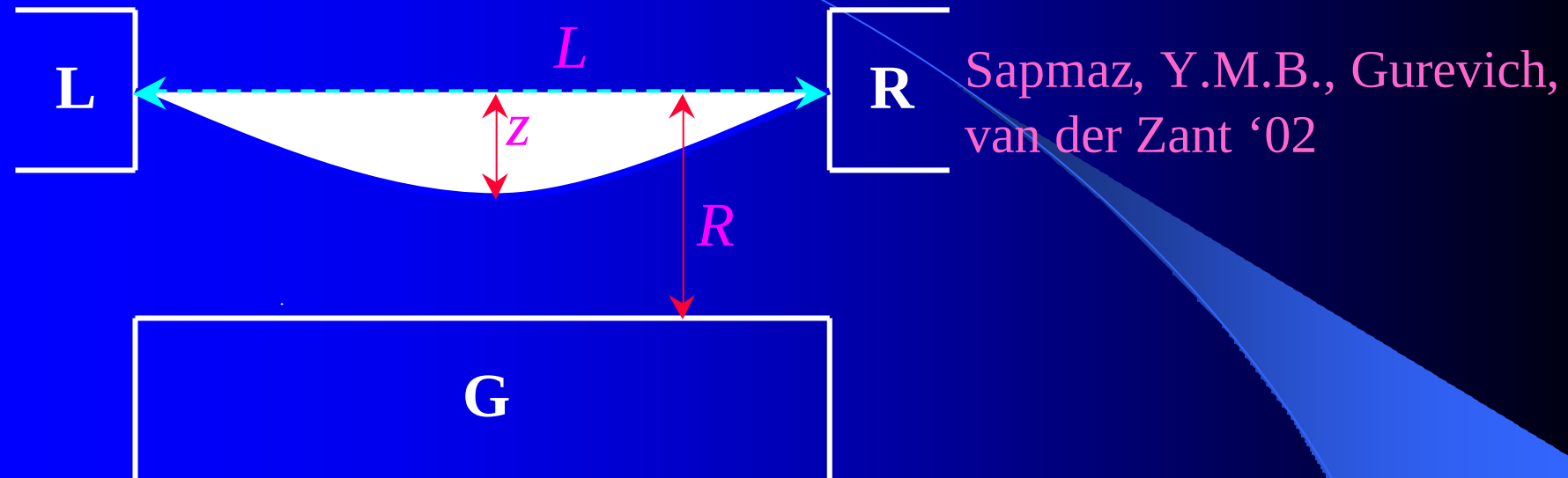


dI / dV



Inelastic tunneling?

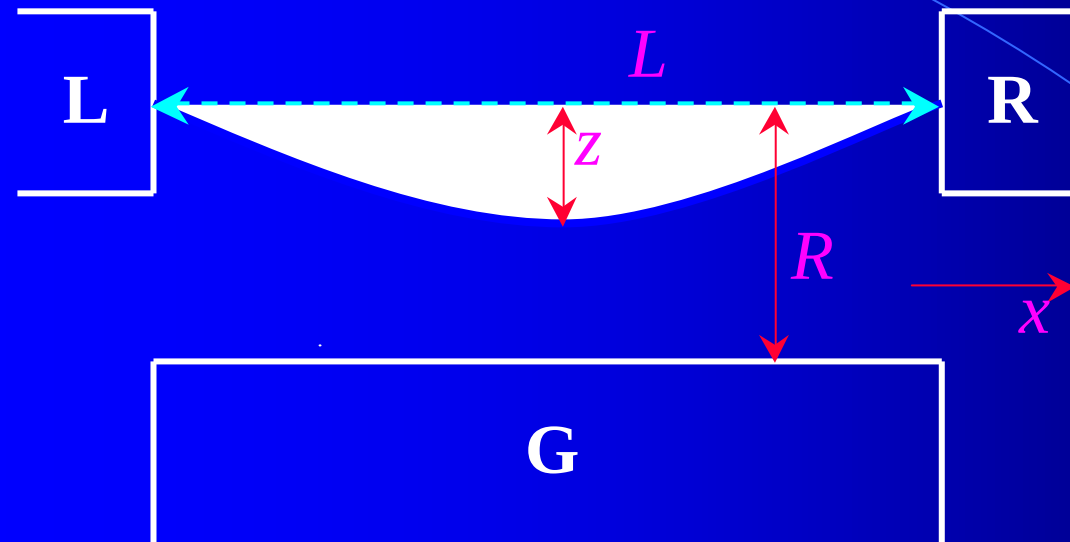
Modeling suspended nanotubes



Features of the model:

- Interaction effects taken into account via charging energy;
- Mechanical degrees of freedom via classical theory of elasticity; nanotube modeled as an elastic rod

Elastic energy



Elastic modulus

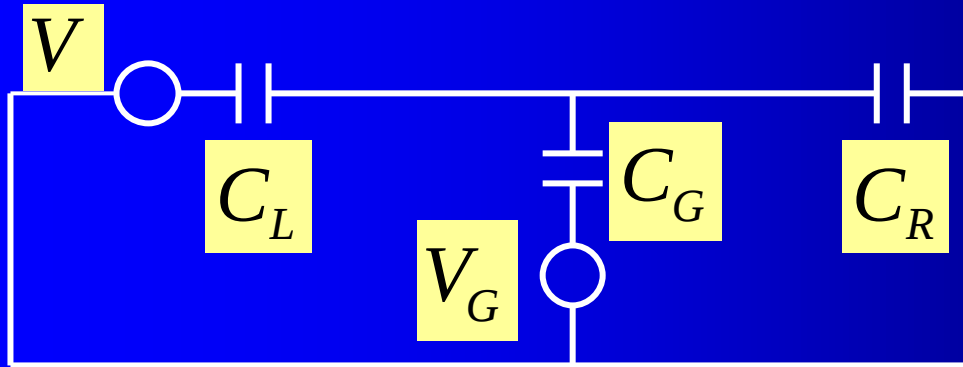
$$W_{el}\{z(x)\} = \int_0^L dx \left\{ \frac{EI}{2} z''^2 + \frac{T}{2} z'^2 \right\}$$

Residual stress

$$T = T_0 + \frac{ES}{2L} \int z'^2 dx$$

Stress induced by bending

Electrostatic energy



$$C_L, C_R \square C_G$$

$$C_G = \int \frac{dx}{2 \ln \frac{2(R - z[x])}{r}}$$

$$W_{e-st} \{z[x]\} = \frac{(ne)^2}{2C_G \{z\}} - neV_G \approx \frac{(ne)^2}{2C_0} - neV_G - \frac{(ne)^2}{L^2 R} \int z[x] dx$$

Electrostatic force

Displacement

Equations of motion:

$$IEz'''' - Tz'' = K_0 \equiv \frac{(ne)^2}{L^2 R}$$

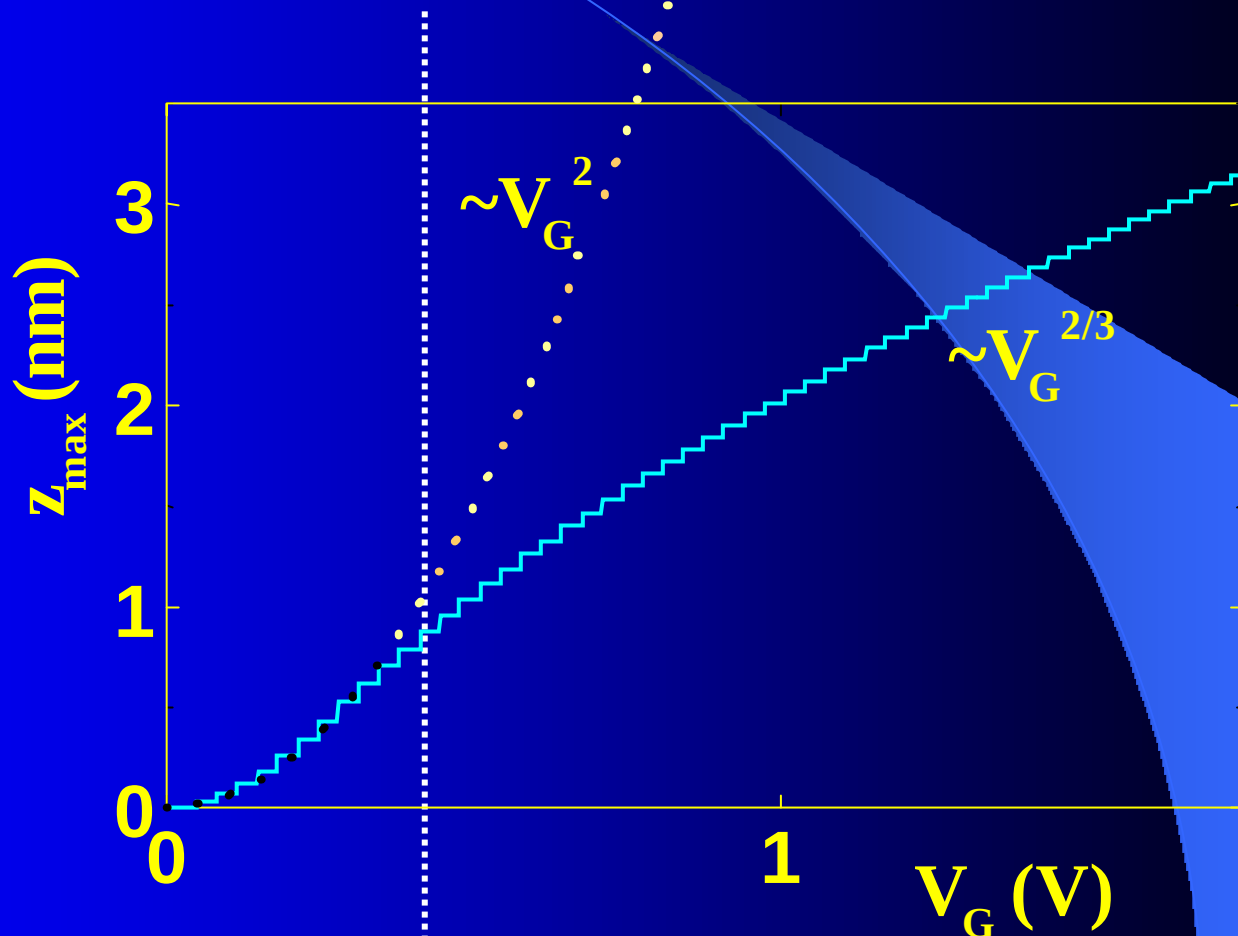
1. To be solved for the displacement;
2. Stress to be found self-consistently

Results: Two regimes

- Weak bending: $z_{\max} < r, z_{\max} \propto V_G^2$
- Strong bending: $z_{\max} > r, z_{\max} \propto V_G^{2/3}$

Displacement

$L = 500\text{nm}$
 $R = 100\text{nm}$
 $C_L = C_R = 0$



Weak bending



Strong bending

Eigenmodes

Equation:

$$\cosh y_1 \cos y_2 - \frac{1}{2} \frac{y_1^2 - y_2^2}{y_1 y_2} \sinh y_1 \sin y_2 = 1$$

$$y_{1,2} = \frac{L}{\sqrt{2}} \sqrt{\sqrt{x^2 + l^2} \pm x^2}; x = \sqrt{\frac{T}{EI}}; l = \sqrt{\frac{4rS}{EI}} w$$

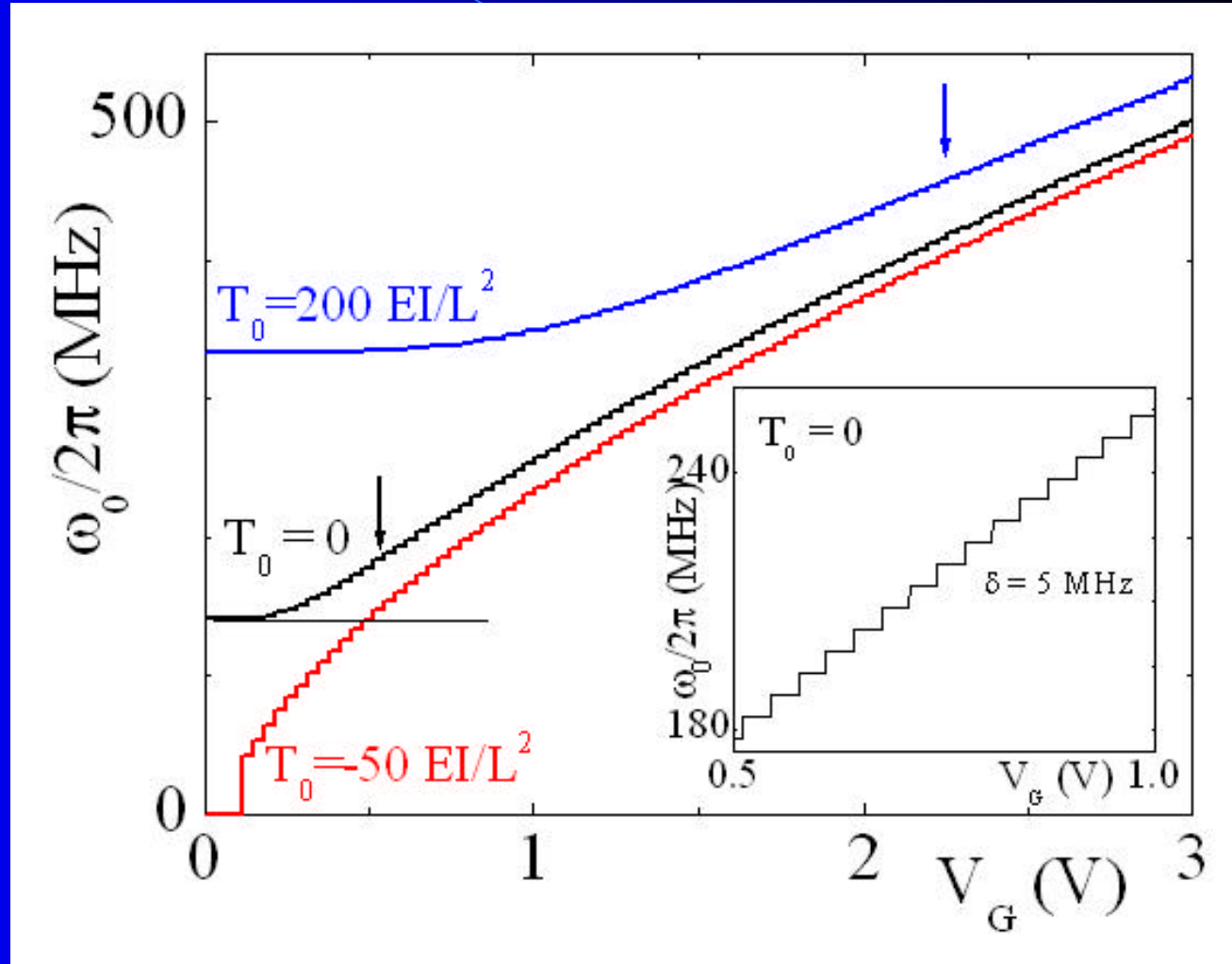
Results for the fundamental mode

Weak bending: $w_0 = \sqrt{\frac{EI}{rS}} \frac{22.38}{L^2} + (\dots) V_G^4$

Strong bending: $w_0 \propto V_G^{2/3}$

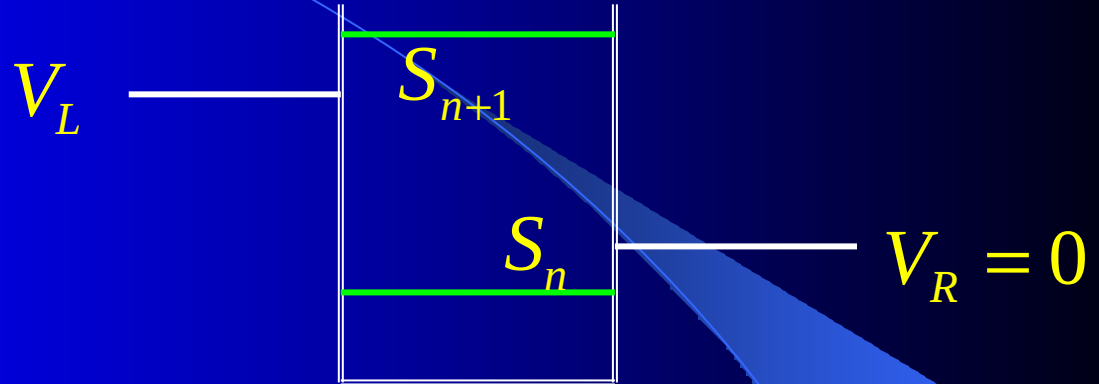
Eigenmodes

$$L = 500\text{nm}$$
$$R = 100\text{nm}$$
$$C_L = C_R = 0$$



Stability diagram for quantum dots

$$S_n = W_{n+1} - W_n$$
$$\approx \left(n + \frac{1}{2}\right) \frac{e^2}{C_G} - eV_G$$

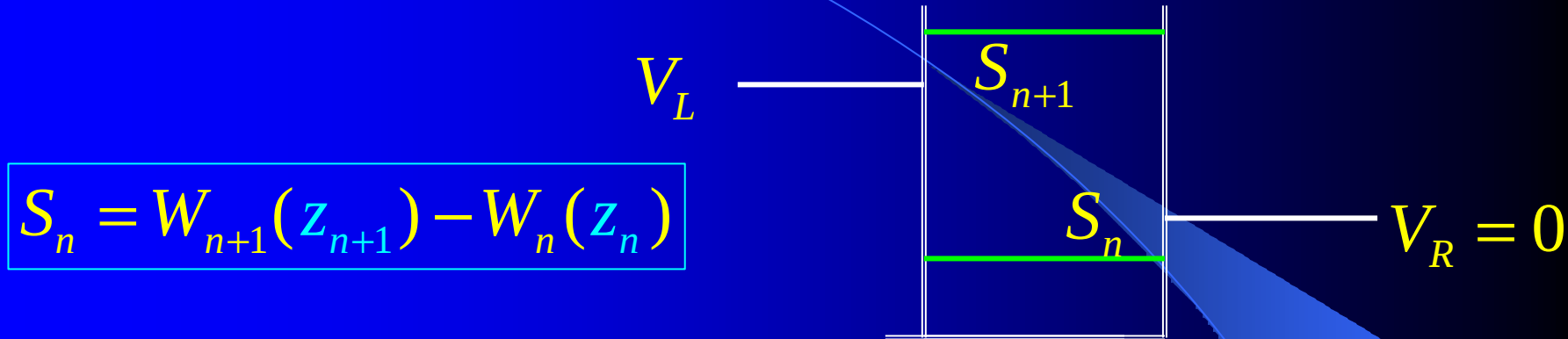


Conditions that current is **not** flowing:

- (a) $S_{n+1} > eV_L$
- (b) $S_n < eV_L$
- (c) $S_{n+1} > 0$
- (d) $S_n < 0$

Linear dependence $\longrightarrow$ Coulomb diamonds

Stability diagram for suspended nanotubes



Conditions that current is not flowing: Non-linear

Consequences:

- “Curvilinear diamonds”
- Diamonds become smaller with increasing the charge

Magnitude of the effect: small

Probably not observable experimentally

Transport

Suspended nanotubes: damped oscillators

Quality factor: $Q = g / w_0 \approx 10^2 \div 10^3$ (Reulet et al '00)

Weakly damped oscillators!

“Stationary regime”: an external force induces oscillations with the frequency of the fundamental mode.

$$q = W \sin w_0 t$$

Transport

Usmani & Y.M.B., in preparation

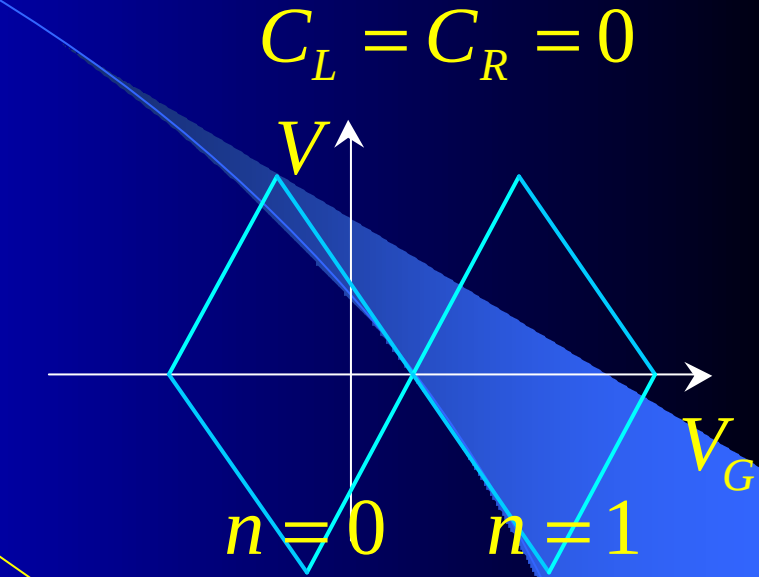
Two charge states: $n=0$ & $n=1$

Time-dependent tunnel rates

Time-dependent occupation probabilities

Stochastic force due to switching between the states

Energy dissipation over the period



Current

Amplitude found self-cons.

Tunnel rates

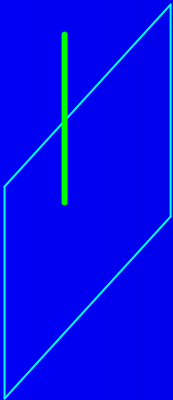
$$C_L = C_R = 0$$

$$\Gamma_L^{0 \rightarrow 1}(t) = \frac{1}{e^2 R} \left[\frac{e^2}{2C_G} + eV_G - aW \sin w_0 t \right] q \left[\frac{e^2}{2C_G} + eV_G - aW \sin w_0 t \right]$$

$$\Gamma_R^{1 \rightarrow 0}(t) = \frac{1}{e^2 R} \left[-\frac{e^2}{2C_G} + e(V - V_G) + aW \sin w_0 t \right] q \left[-\frac{e^2}{2C_G} + e(V - V_G) + aW \sin w_0 t \right]$$

$$\Gamma_L^{1 \rightarrow 0}(t) = \Gamma_R^{0 \rightarrow 1}(t) = 0$$

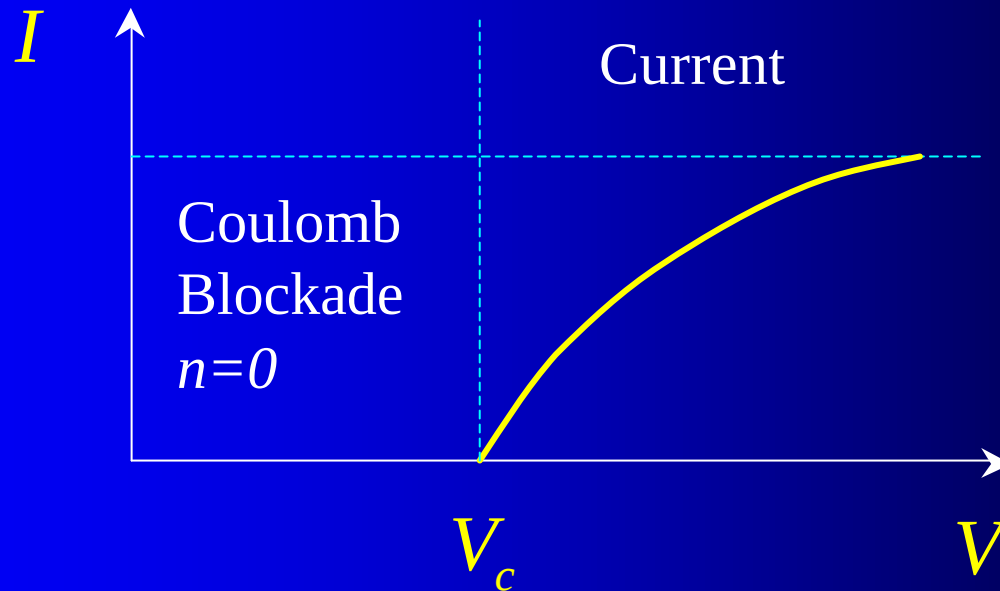
$$a = \frac{e^2}{LR} \quad \text{- force for } n=1$$



Tunnel rates

$$a = \frac{e^2}{LR} \quad \text{- force for } n=1$$

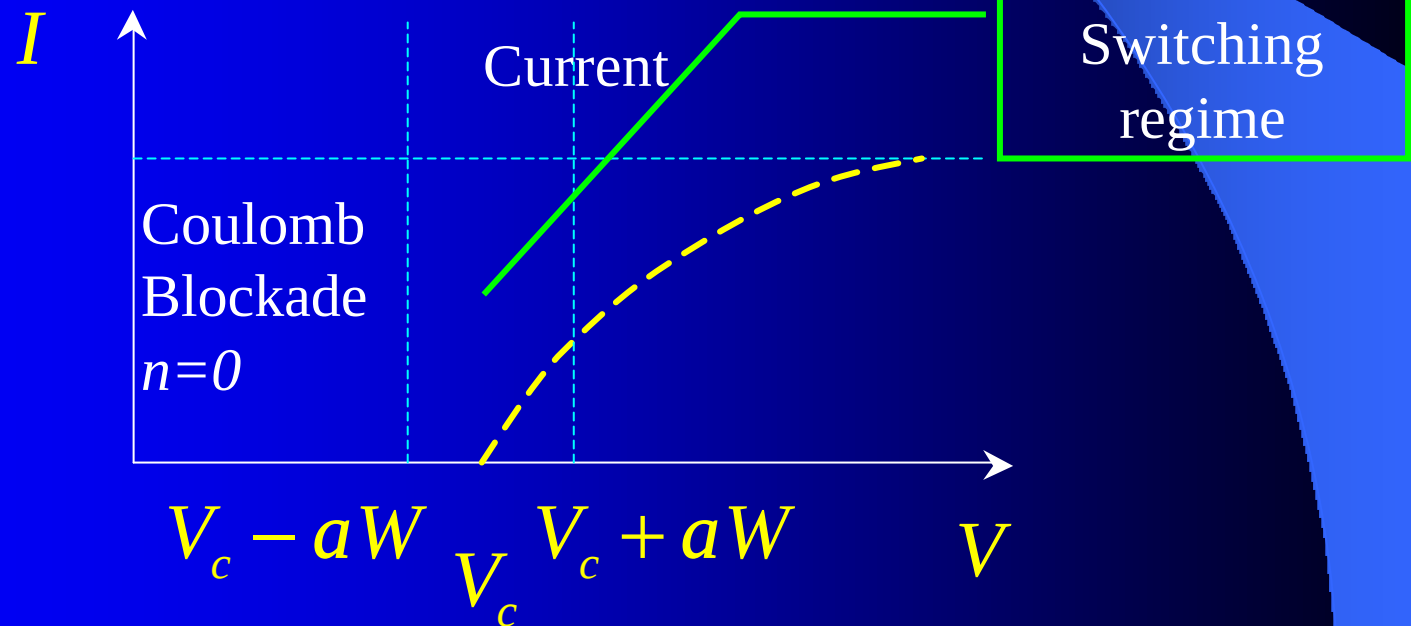
Without mechanical oscillations:



Tunnel rates

$$a = \frac{e^2}{LR} \quad \text{- force for } n=1$$

With mechanical oscillations:



Occupation probabilities

$P_1(t)$ - probability to have charge e

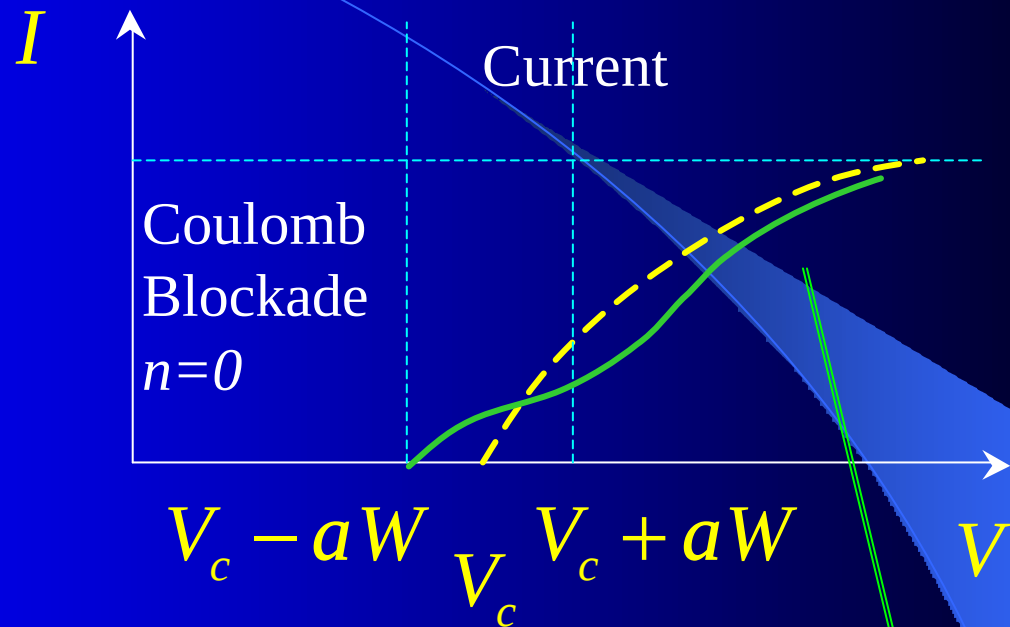
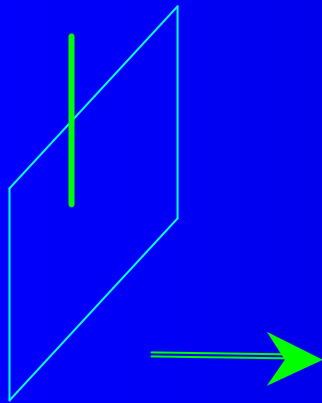
$P_0(t) = 1 - P_1(t)$ - probability to have charge 0

$$\frac{dP_1}{dt} = \Gamma_L^{0 \rightarrow 1}(t)P_0(t) - \Gamma_R^{1 \rightarrow 0}(t)P_1(t)$$

Current:

$$I = \frac{e}{2} \left[\Gamma_R^{1 \rightarrow 0} P_1 + \Gamma_L^{0 \rightarrow 1} P_0 \right]$$

Current



$$dI \propto -\frac{Q}{w_0^3} \left(\frac{\Gamma}{\Gamma^2 + w^2} \right)^2; \Gamma = \frac{V}{eR}$$

Conclusions

- Displacement: gate-voltage dependent; proceeds in steps
- Eigenmodes: modulated by the gate; steps; sensitive to the residual stress
- Insignificant effects of the mechanical degrees of freedom on the ground state energy (Coulomb diamonds) vs considerable influence on the current in non-equilibrium situation.